

PTO/SB/08b (08-03)

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**SUPPLEMENTAL
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STATEMENT BY APPLICANT**

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Sheet

1

of

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Complete if Known

Application Number	10/661,696
Filing Date	September 12, 2003
First Named Inventor	David D. Brandt
Art Unit	2131
Examiner Name	
Attorney Docket Number	03AB014/ALBRP303USC

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
RD		F. OLACHEA; Partial European Search Report; EP 03 02 3916; The Hague, November 16, 2004.	
		INSIGHT CONSULTING; Insight on CRAMM IV; Insight Consulting Online; November 30, 2001; http://www.infosec-associates.com/framework/downloads/insight_cramm.pdf	
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		ROCKWELL AUTOMATION; Rockwell Automation and DuPont Engineering sign worldwide licensing agreement to standardize and improve industrial computer networking security; Online, March 7, 2002; http://domino.automation.rockwell.com/applications/gs/region/gtwwebst.nsf/pages/Rockwell_Automation_and_DuPont_Engineering_Sign_...	
		D. SPINELLIS, S. KOKOLAKIS and S. GRITZALIS; Security Requirements, risks and recommendations for small enterprise and home-office environments; Information Management & Computer Security; Online, vol. 7, no. 3, December 31, 1999; http://www.dnstaueb.gr/dds/pubs/jml/1999-IMCS-Soft-Risk/html/soho.pdf	
		WILMINGTON SECTION ISA; Wilmington ISA Section Plant Tour of Astropower; ISA Online, March 21, 2001; http://www.isa.org/wilmdel/Sensor/Mar01.pdf	
		D. HARROLD; Control Engineering; Online, March 1, 2003; http://www.manufacturing.net/article/CA283196 .	
		L. FALKENAU; Site networking and beyond; ISA, Online, July 1, 2003; http://www.isa.org/InTechTemplate.cfm?Section=Departments4&template=/ContentManagement/ContentDisplay.cfm&ContentID=28025 .	

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David D. Brandt

Art Unit

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Examiner Name

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Attorney Docket Number

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 T^2

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JONG-NYUN LEE, "NEURO-FUZZY PERFORMAN MANAGEMENT OF FACTORY AUTOMATION
NETWORKS". 1997. 5 PAGES .

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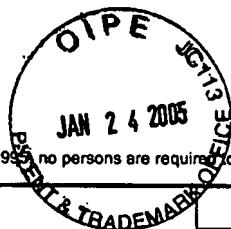
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		F. OLAECHEA; Partial European Search Report; EP 03 02 3916; The Hague, November 16, 2004.	
		INSIGHT CONSULTING; Insight on CRAMM IV; Insight Consulting Online; November 30, 2001; http://www.infosec-associates.com/framework/downloads/insight_cramm.pdf	
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		ROCKWELL AUTOMATION; Rockwell Automation and DuPont Engineering sign worldwide licensing agreement to standardize and improve industrial computer networking security; Online, March 7, 2002; http://domino.automation.rockwell.com/applications/gs/region/gtwwebst.nsf/pages/Rockwell_Automation_and_DuPont_Engineering_Sign_...	
		D. SPINELLIS, S. KOKOLAKIS and S. GRITZALIS; Security Requirements, risks and recommendations for small enterprise and home-office environments; Information Management & Computer Security; Online, vol. 7, no. 3, December 31, 1999; http://www.dnmt.aueb.gr/dds/pubs/jml/1999-IMCS-Soft-Risk/html/soho.pdf	
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		D. HARROLD; Control Engineering; Online, March 1, 2003; http://www.manufacturing.net/ctl/article/CA283196 .	
		L. FALKENAU; Site networking and beyond; ISA, Online, July 1, 2003; http://www.isa.org/InTechTemplate.cfm?Section=Departments4&template=/ContentManagement/ContentDisplay.cfm&ContentID=28025 .	

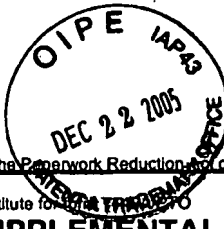
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Sheet 1 of 2

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Attorney Docket Number	03AB014C/ALBRP303USC

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 6,298,445 B1	10-02-2001	Adam Shostack et al.	
		US-			
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Sheet

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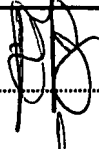
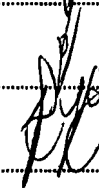
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		European Search Report dated October 7, 2005 mailed November 3, 2005 for European Patent Application Serial No.03023916.4 - 2413 PCT, 3 Pages.	
		H.S. TENG et al., Adaptive Real-Time Anomaly Detection Using Inductively Generated Sequential Patterns, Proceedings of the Symposium on Research in Security and Privacy, Oakland, May 7-9, 1990, pages 278-284, Los Alamitos, IEEE Comp. Soc. Press, US.	
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		VERANO, Defender Watches Over Industrial Control Networks, August 10, 2003, 2 pages, retrived from the Internet: URL: http://web.archive.org/web/20030810201511/http://www.engineeringtalk.com/news/vra/vra102.html .	

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U. S. PATENT DOCUMENTS					
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[Signature]		US- 5,604,914	02-18-1997	Kabe	
		US- 2004/0049674	03-11-2004	Collier et al.	
		US- 2004/0125146	07-01-2004	Gerlach et al.	
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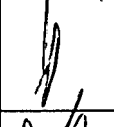

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	Examiner Name	Unknown		
	Attorney Docket Number	03AB014C/ALBRP303USC		

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	1	6957348		2005-10-18	Flowers, et al.			
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	1	0126335	WO	A2	2001-04-12	Sensoria Corp.		<input type="checkbox"/>
	2	2 368 701	GB	A	2002-05-08	Fisher Rosemount Systems		<input type="checkbox"/>
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	1	N. JONES, et al. "Introducing EtherNET/IP". 6th ODVA annual meeting March 8, 2000. Retrieved from the Internet: URL: http://www.odva.org/10_2/03_events/NewEtherNet/EtherNet-IPOverview.ppt retrieved on November 11, 2004. 25 pages.	<input type="checkbox"/>
	2	T. CUTLER. "Wireless Ethernet and How To Use It". The Online Industrial Ethernet Book. October 10, 2002. Retrieved from the internet: URL: http://web.archive.org/web/20021020173452/http://ethernet.industrial-networking.com/articles/i05wirelessethernet.asp retrieved on November 18, 2004. 3 pages.	<input type="checkbox"/>
	3	A.C. WEAVER. Institute of Electrical and Electronics Engineers: "Factory Monitoring and Control Using The Internet". Proceedings of the 27th Annual Conference of the IEEE Industrial Electronics Society. Denver, CO, November 29-December 2, 2001, Annual Conference of the IEEE Industrial Electronics Society, New York, NY: IEEE, US, Vol. 1 of 3 CONF. November 27 and 29, 2001 pages 1639-1645. 32 pages.	<input type="checkbox"/>
	4	J. RINALDI. "Introduction to Ethernet/IP". The Online Industrial Ethernet Book. September 30, 2002. Retrieved from the internet: URL: http://ethernet.industrial-networking.com/articles/il1ethernet.asp retrieved on November 18, 2004. 2 pages.	<input type="checkbox"/>

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	Art Unit	2131
	Examiner Name	Unknown
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Filing Date	2003-09-12
First Named Inventor	David D. Brandt
Art Unit	2131
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